

Understanding Transition of Care and Continuity of Care.

Connecticut Fully Insured



Transition of Care

Transition of Care gives new Surest members the option to request extended coverage from their current, out-of-network health care professional at network rates for a limited time due to a specific condition until the safe transfer to a network health care professional can be arranged. Examples of covered conditions can be found on page 2 of this document. You must apply for Transition of Care no later than 90 days after the date your Surest coverage begins using the form beginning on page 4.



Get help with understanding these health insurance terms and more on **page 3**.



Continuity of Care

Continuity of Care gives Surest members the option to request extended care from their current health care professional if they are no longer working with their health plan and are now considered out-of-network. Members with medical reasons preventing an immediate transfer to a network health care professional may request extended coverage for services at network rates for specific conditions for a defined period of time.

Examples of covered medical conditions can be found on page 2 of this document.

If your health care professional is leaving the UnitedHealthcare network, or if you are a new Surest member, you must apply for Continuity of Care or Transition of Care within 90 days of the health care professional's termination date or within 90 days of your effective date, using the form beginning on **page 4**.

How Transition of Care and Continuity of Care work:

You must already be under active and current treatment (see definition below) by the identified non-contracted health care professional for the condition identified on the Transition of Care and Continuity of Care form below.

Your request will be evaluated based on applicable Federal law, plan benefits, and accreditation standards.

Coverage at the network level is available if the provider agrees to accept our network rates, provide medical records, follow our policies, and a treatment plan approved by us.

- If your request is approved for the medical condition(s) listed in your form(s), you will receive the network level of coverage for treatment of the specific condition(s) by the healthcare professional for a defined time frame, as determined by Surest:
 - up to 90 days from the effective date of coverage for new members,
 - up to 90 days from the date the provider has left the health plan network, and
 - if the member is in the second or third trimester of a pregnancy, through delivery and any post-partum services directly related to the delivery
- After this time, network coverage ends. If your plan includes out-of-network coverage and you choose to continue receiving out-of-network care beyond the time frame we approve, you must follow your plan's out-of-network requirements, including any prior authorization or notification requirements.
- All other services or supplies must be provided by a network health care professional for you to receive network coverage levels.
- If your plan does not include out-of-network coverage, you can call the number on the back of your health plan ID card for assistance.
- The availability of Transition of Care and Continuity of Care coverage does not guarantee that a treatment is medically necessary or is covered by your plan benefits. Depending on the actual request, a medical necessity determination and formal prior authorization may still be required for a service to be covered.

Examples of conditions that may qualify for Transition of Care and Continuity of Care include, but are not limited to:

- Pregnant and undergoing a course of treatment for pregnancy
 - Coverage for newborn children begins at the moment of birth and continues for 90 days. You must select an in-network pediatrician and notify your health plan representative within 90 days from the baby's date of birth to add the baby to your plan.
- Newly diagnosed or relapsed cancer and currently receiving chemotherapy, radiation therapy, or reconstruction
- Transplant candidates or transplant recipients in need of ongoing care due to complications associated with a transplant
- Recent major surgeries in the acute phase and follow-up period (generally six to eight weeks after surgery)
- Serious acute conditions in active treatment such as heart attacks or strokes
- Ongoing mental health treatments
- Other serious chronic conditions that require active treatment

Examples of conditions that do not qualify for Transition of Care and Continuity of Care include:

- Routine exams, vaccinations, and health assessments
- Chronic conditions such as diabetes, arthritis, allergies, asthma, kidney disease, and hypertension that are stable
- Minor illnesses such as colds, sore throats, and ear infections
- Elective scheduled surgeries

Frequently asked questions:

Q What can I expect after the completed form is submitted?

A You will receive a written decision either approving or denying your request. We encourage you to find a doctor, health care professional, or facility (like a hospital) in your network at benefits.surest.com.

Q If I am approved for Transition of Care and Continuity of Care for one medical condition, can I receive network coverage for a non-related condition?

A No. Network coverage levels provided as part of Transition of Care and Continuity of Care are for the specific medical conditions only and cannot be applied to another condition. If you are seeking network level of benefits for more than one medical condition, you will need to complete a separate request for each specific condition.

Definitions

Transition of Care: Gives new Surest members the option to request extended coverage from their current, out-of-network health care professional at network rates for a limited time due to a specific medical condition, until the safe transfer to a network health care professional can be arranged.

Continuity of Care: Gives Surest members the option to request extended care from their current health care professional if they are no longer working with their health plan and are now considered out-of-network.

Network: The facilities, providers, and suppliers your health plan has contracted with to provide health care services.

Out-of-network: Services provided by a non-participating provider.

Pre-authorization: An assessment for coverage under your health plan before you can get access to medicine or services.

Active course of treatment: An active course of treatment typically involves regular visits with the practitioner to monitor the status of an illness or disorder, provide direct treatment, prescribe medication or other treatment, or modify a treatment plan. Discontinuing an active course of treatment could cause a recurrence or worsening of the condition under treatment and interfere with recovery. Generally an active course of treatment is defined as within the last 90 days, but is evaluated on a case-by-case basis.

See other health care and health insurance terms and definitions at justplainclear.com.

Transition of Care and Continuity of Care form

This form is for self-funded members only.

To complete this form:

Please make sure all fields are completed. When the form is complete, it must be signed by the member for whom the Transition of Care and Continuity of Care are being requested. If the patient is a minor, a guardian's signature is required.

- You must complete and submit the form for Transition of Care and Continuity of Care within 30 days of the effective date of coverage or within 90 days of the care provider's termination date.
- A separate Transition of Care and Continuity of Care form must be completed for each condition for which you and/or your dependents are seeking Transition of Care and Continuity of Care.
- Please mail or fax the completed form, along with relevant medical records and information, within 30 days following the effective date of your Surest plan to:

Surest
600 Airborne Parkway
Cheektowaga, NY 14225
Attn: Transition of Care/Continuity of Care
Fax: 855-686-3561

- After receiving your request, Surest will review and evaluate the information provided. Incomplete forms will be returned to the requestor. If the form is complete, we will send you a letter to let you know if your request was approved or denied. Completion of this form does not guarantee that a Transition of Care and Continuity of Care request will be granted.

Member Information			
<input type="radio"/> New Surest member (Transition of Care applicant) <input type="radio"/> Existing Surest member whose care provider terminated (Continuity of Care applicant)		Provider termination date	
Name (person being treated)	Surest member ID number	Date of birth (mm/dd/yyyy)	
Address	City	State/ZIP Code	
Home/cell phone number		Work phone number	
Employer name	Employer policy number	Date of enrollment in the Surest plan (mm/dd/yyyy)	
Member's relationship to employee <input type="radio"/> Self <input type="radio"/> Spouse <input type="radio"/> Dependent <input type="radio"/> Other	Is the member currently covered by other health insurance carrier? <input type="radio"/> Yes <input type="radio"/> No If yes, carrier name: _____		
Authorization to release records:			
Authorization to release medical, mental health, and/or substance use records. This information will be used to determine the member's eligibility for Transition of Care/Continuity of Care benefits under the plan.			
For behavioral health, please check the applicable boxes. <input type="radio"/> ALL mental/behavioral health records		If not ALL, please select one or more of the following record types: <input type="radio"/> Attendance <input type="radio"/> Discharge summary <input type="radio"/> Toxicology results <input type="radio"/> Assessments <input type="radio"/> Lab results <input type="radio"/> Treatment plan <input type="radio"/> Billing record <input type="radio"/> Medication/dosing <input type="radio"/> Other _____ <input type="radio"/> Consultations <input type="radio"/> Provider orders	
The following items require special consent by law. Check the boxes below to indicate your intent to include: <input type="radio"/> Alcohol or substance use information <input type="radio"/> HIV/AIDS <input type="radio"/> Genetic information <input type="radio"/> Reproductive health care services			
Please indicate in the space below the date(s) of service for the above selected records that you would like released. If this section is left blank, all dates of service for the above selected records will be included. _____			
Member's signature/parent or guardian's signature if member is a minor			Date (mm/dd/yyyy)

Care provider section: Your health care professional should complete the following information.		
Name (treating physician or other health care professional)	National Provider Identifier (NPI) or Tax ID Number (TIN)	Phone number
Provider license type (MD, NP, psychologist, LCSW, etc.)		
Address	City	State/ZIP Code
Facility name, NPI or TIN, city and state		Facility phone number
Date of last visit (mm/dd/yyyy)	Next scheduled appointment (mm/dd/yyyy)	Frequency of visits
Diagnosis	Expected length of treatment	If maternity: expected date of delivery (mm/dd/yyyy)
Please select 1 of the descriptions if it applies: <input type="radio"/> Life-threatening condition <input type="radio"/> Acute condition <input type="radio"/> Transplant <input type="radio"/> Inpatient/confined <input type="radio"/> Upcoming surgery <input type="radio"/> Disabled/disability <input type="radio"/> Terminal illness <input type="radio"/> Ongoing treatment		
Newborn members: Coverage for newborn children begins at the moment of birth and continues for 30 days. You must select a network pediatrician and notify your health plan representative within 30 days from the baby's date of birth to add the baby to your plan.		
Is the treatment for an exacerbation of a previous injury or chronic condition? <input type="radio"/> Yes <input type="radio"/> No		
Current condition and associated treatment plan (include brief statement and all relevant CPT codes)* If these care needs are not associated with the condition for which you are requesting Transition of Care and Continuity of Care coverage, please complete a separate Transition of Care and Continuity of Care form for each condition. *attach additional clinical as needed.		
<p>We understand you are not, or soon will not be, a participating provider in our network. Our member is receiving treatment for the above medical condition from you and is seeking continued coverage at the network benefit level. If the member is eligible, you agree to (1) provide the covered service, including any follow-up care covered under the member's plan, for the applicable time frame, (2) follow our policies and procedures, (3) upon request, share information regarding the member's treatment with us, (4) if applicable, make referrals for services, including laboratory services to network providers, or ask for our approval before referring a member to an out-of-network provider, and (5) if applicable, request any required prior approval before the services are rendered. Please note the following:</p> <p>For providers leaving our network: The terms and conditions of your participation agreement will continue to apply to the covered service, including any follow-up care covered under the member's plan. Payment under your participation agreement, along with any co-payment, deductible, or coinsurance for which the member is responsible under the plan, is payment in full for the covered service. You will neither seek to recover nor accept any payment in excess of this amount from the member, us, or any payer or anyone acting on their behalf, regardless of whether such amount is less than your billed or customary charge.</p> <p>For out-of-network providers seeing new members: If the member is eligible, we will provide coverage at the network benefit level. Payment will be consistent with the member's benefit plan. If coverage at the network benefit level is available, you agree to accept payment from us along with any co-payment, deductible, or coinsurance for which the member is responsible under the plan as payment in full for the covered service. You will neither seek to recover nor accept any payment in excess of this amount from the member, us, or any payer or anyone acting on their behalf, regardless of whether such amount is less than your billed or customary charge.</p>		
Signature of health care professional		Date (mm/dd/yyyy)

CONFIDENTIALITY NOTICE: Information in this document is considered to be Surest's confidential and/or proprietary business information. Consequently, this information may be used only by the person or entity to which it is addressed. Any recipient shall be liable for using and protecting Surest's proprietary business information from further disclosure or misuse, consistent with recipient's contractual obligations under any applicable administrative services agreement, group policy contract, non-disclosure agreement, or other applicable contract or law. The information you have received may contain protected health information (PHI) and must be handled according to applicable state and federal laws, including but not limited to HIPAA. Individuals who misuse such information may be subject to both civil and criminal penalties.

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, may commit a fraudulent insurance act, which may be a crime, and may also be subject to a civil penalty for each violation.



We do not treat members differently because of sex, age, race, color, disability or national origin. If you think you were treated unfairly because of your sex, age, race, color, disability or national origin, you can send a complaint to the Civil Rights Coordinator.

Online: UHC_Civil_Rights@uhc.com

Mail: Civil Rights Coordinator, UnitedHealthcare Civil Rights Grievance, P.O. Box 30608, Salt Lake City, UT 84130

You must send the complaint within 60 days of when you found out about it. A decision will be sent to you within 30 days. If you disagree with the decision, you have 15 days to ask us to look at it again.

If you need help with your complaint, please call the toll-free phone number listed on your ID card, TTY 711, Monday through Friday, 8 a.m. to 8 p.m.

You can also file a complaint with the U.S. Dept. of Health and Human Services.

Online: <https://ocrportal.hhs.gov/ocr/portal/lobby.jsf>

Complaint forms are available at <http://www.hhs.gov/ocr/office/file/index.html>.

Phone: Toll-free 1-800-368-1019, 1-800-537-7697 (TDD)

Mail: U.S. Dept. of Health and Human Services, 200 Independence Avenue, SW Room 509F, HHH Building, Washington, D.C. 20201

We provide free services to help you communicate with us, such as letters in other languages or large print. Or, you can ask for an interpreter. To ask for help, please call the toll-free phone number listed on your ID card, TTY 711, Monday through Friday, 8 a.m. to 8 p.m.

ATTENTION: If you speak English, language assistance services, free of charge, are available to you. Please call the toll-free phone number listed on your identification card.

ATENCIÓN: Si habla español (Spanish), hay servicios de asistencia de idiomas, sin cargo, a su disposición. Llame al número de teléfono gratuito que aparece en su tarjeta de identificación.

請注意: 如果您說中文 (Chinese), 我們免費為您提供語言協助服務。請撥打會員卡所列的免付費會員電話號碼。

XIN LƯU Ý: Nếu quý vị nói tiếng Việt (Vietnamese), quý vị sẽ được cung cấp dịch vụ trợ giúp về ngôn ngữ miễn phí. Vui lòng gọi số điện thoại miễn phí ở mặt sau thẻ hội viên của quý vị.

알림: 한국어 (Korean)를 사용하시는 경우 언어 지원 서비스를 무료로 이용하실 수 있습니다. 귀하의 신분증 카드에 기재된 무료 회원 전화번호로 문의하십시오.

PAALALA: Kung nagsasalita ka ng Tagalog (Tagalog), may makukuha kang mga libreng serbisyo ng tulong sa wika. Pakitawagan ang toll-free na numero ng telepono na nasa iyong identification card.

ВНИМАНИЕ: бесплатные услуги перевода доступны для людей, чей родной язык является русским (Russian). Позвоните по бесплатному номеру телефона, указанному на вашей идентификационной карте.

تنبيه: إذا كنت تتحدث العربية (Arabic)، فإن خدمات المساعدة اللغوية المجانية متاحة لك. يُرجى الاتصال برقم الهاتف المجاني المدرج على بطاقة التعريف الخاصة بك.

ATANSYON: Si w pale Kreyòl ayisyen (Haitian Creole), ou kapab benefisyè sèvis ki gratis pou ede w nan lang pa w. Tanpri rele nimewo gratis ki sou kat idantifikasyon w.

ATTENTION: Si vous parlez français (French), des services d'aide linguistique vous sont proposés gratuitement. Veuillez appeler le numéro de téléphone gratuit figurant sur votre carte d'identification.

UWAGA: Jezeli mówisz po polsku (Polish), udostępniliśmy darmowe usługi tłumacza. Prosimy zadzwonić pod bezpłatny numer telefonu podany na karcie identyfikacyjnej.

ATENÇÃO: Se você fala português (Portuguese), contate o serviço de assistência de idiomas gratuito. Ligue gratuitamente para o número encontrado no seu cartão de identificação.

ATTENZIONE: in caso la lingua parlata sia l'italiano (Italian), sono disponibili servizi di assistenza linguistica gratuiti. Per favore chiamate il numero di telefono verde indicato sulla vostra tessera identificativa.

ACHTUNG: Falls Sie Deutsch (German) sprechen, stehen Ihnen kostenlos sprachliche Hilfsdienstleistungen zur Verfügung. Bitte rufen Sie die gebührenfreie Rufnummer auf der Rückseite Ihres Mitgliedsausweises an.

注意事項: 日本語 (Japanese) を話される場合、無料の言語支援サービスをご利用いただけます。健康保険証に記載されているフリーダイヤルにお電話ください。

توجه: اگر زبان شما فارسی (Farsi) است، خدمات امداد زبانی به طور رایگان در اختیار شما می باشد. لطفاً با شماره تلفن رایگانی که روی کارت شناسایی شما قید شده تماس بگیرید.

ध्यान दें: यदि आप हिंदी (Hindi) बोलते हैं, आपको भाषा सहायता सेवाएं, नि:शुल्क उपलब्ध हैं। कृपया अपने पहचान पत्र पर सूचीबद्ध टोल-फ्री फोन नंबर पर कॉल करें।

CEEB TOOM: Yog koj hais Lus Hmoob (Hmong), muaj kev pab txhais lus pub dawb rau koj. Thov hu rau tus xov tooj hu deb dawb uas teev muaj nyob rau ntawm koj daim yuaj cim qhia tus kheej.

ចំណាប់អារម្មណ៍: បើសិនអ្នកនិយាយភាសាខ្មែរ (Khmer) សេវាជំនួយភាសាដោយឥតគិតថ្លៃ គឺមានសំរាប់អ្នក។ សូមទូរស័ព្ទទៅលេខឥតគិតថ្លៃដែលមាននៅលើអត្តសញ្ញាណប័ណ្ណរបស់អ្នក។

PAKDAAR: Nu saritaem ti Ilocano (Ilocano), ti serbisyo para ti baddang ti lengguahe nga awanan bayadna, ket sidadaan para kenyan. Maidawat nga awagan iti toll-free a numero ti telepono nga nakalista ayan iti identification card mo.

DÍI BAA'ÁKONÍNÍZIN: Diné (Navajo) bizaad bee yánilti'go, saad bee áka'anida'awo'igíí, t'áá jíí'eh, bee ná'ahóót'i'. T'áá sho'odi ninaaltsoos nít'izi bee nééhozinígíí bine'dęę' t'áá jíí'ehgo béésh bee hane'i biká'igíí bee hodiilnih.

OGOW: Haddii aad ku hadasho Soomaali (Somali), adeegyada taageerada luqadda, oo bilaash ah, ayaad heli kartaa. Fadlan wac lambarka telefonka khadka bilaashka ee ku yaalla kaarkaaga aqoonsiga.